

# Mean field approach - introduction

Computational Physics

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# Ising - many-spin - single-spin description

The Ising Hamiltonian

$$H = -\frac{J}{2} \sum_{\langle i,j \rangle} s_i s_j - B \sum_i s_i$$

is a real many-body Hamiltonian. Can we approximate it by a single-spin Hamiltonian?

Denote the mean value of  $s_i$  by  $\langle s_i \rangle$ , then

$$s_i s_j = s_i \langle s_j \rangle + \langle s_i \rangle s_j - \langle s_i \rangle \langle s_j \rangle + (s_i - \langle s_i \rangle)(s_j - \langle s_j \rangle)$$

without an approximation. The system is homogeneous

→  $\langle s_i \rangle = \langle s \rangle$  independent of  $i$ .

Thus we can rewrite the Hamiltonian

$$H = -\frac{J}{2} \sum_{\langle i,j \rangle} [s_i \langle s \rangle + \langle s \rangle s_j - \langle s \rangle \langle s \rangle + (s_i - \langle s \rangle)(s_j - \langle s \rangle)] - B \sum_i s_i$$

Two terms are identical, and one is pure **correlation** term.

We make the approximation

$$H \approx -Jq\langle s \rangle \sum_i s_i + J\frac{q}{2}N\langle s \rangle^2 - B \sum_i s_i$$

$q$  is the number of nearest neighbors, **coordination number**.

This can be simplified as

$$H \approx J\frac{q}{2}N\langle s \rangle^2 - (B^{\text{mf}} + B) \sum_i s_i$$

with  $B^{\text{mf}} = qJ\langle s \rangle$

Now the Hamiltonian

$$H \approx J \frac{q}{2} N \langle s \rangle^2 - (B^{\text{mf}} + B) \sum_i s_i$$

is a pure one-body Hamiltonian! The single spin variable  $s_i$  sees an external field  $B$  and an **effective field**  $B^{\text{mf}}$  created by **all the spins** in the system.

But  $H$  alone is not enough, we still do not know  $\langle s \rangle$ ! One way to determine  $\langle s \rangle$  comes from the two definitions of magnetization (average magnetic dipole moment)

$$D = N \langle s \rangle \quad \text{and} \quad D = - \frac{\partial}{\partial B} F(N, B, T, \langle s \rangle) \Big|_{N, T, \langle s \rangle}$$

with

$$F(N, B, T, \langle s \rangle) = -kT \ln Z_N(B, T)$$

This leads to

$$\langle s \rangle = \tanh \{ \beta (qJ \langle s \rangle + B) \},$$

an implicit equation for  $\langle s \rangle$ .

- **Instead of one linear many-body Hamiltonian with a huge complex state space we now have a set of nonlinear equations, effective one-body Hamiltonian, in a simple state space.**
- Here we can solve the MF equations “analytically”, but in most systems we need numerical methods.
- Nonlinear equations  $\leftrightarrow$  linear algebra + iterations.

# Electronic structure of matter

How do we approach the equation of motion

$$\left[ \sum_{i=1}^N \left\{ \frac{1}{2m^*} (\mathbf{p}_i + \mathbf{A}(\mathbf{r}_i))^2 + V_{ext}(\mathbf{r}_i) \right\} + \frac{1}{2} \sum_{i \neq j}^N \frac{e^2}{\kappa |\mathbf{r}_i - \mathbf{r}_j|} - E \right] \Psi = 0$$

with the time-independent many-electron **antisymmetrized** wave function  $\Psi(\mathbf{r}_1, \mathbf{r}_2, \dots, \mathbf{r}_N)$ .

If  $\Psi$  is constructed from single-electron wave functions then it is a sum over **all** possible Slater determinants of them!

If  $N = 100$  then we have equivalent to a minimization problem in  $3^{300} \approx 10^{150}$  dimensions! (W. Kohn RMP, **71**, 1253 (1998))

Daniela Pfannkuche will talk about the systems with low  $N$  where exact numerical methods can be used.

Walter Kohn has stated in a provocative manner:

*In general the many-electron wave function  $\Psi$  for a system of  $N$  electrons is not a legitimate scientific concept, when  $N \leq N_0$ , where  $N_0 \approx 10^3$ .*

There are 3+ solutions (finite  $\leftrightarrow$  extended systems)

- Monte Carlo methods for  $\Psi$ .
- Second quantization,  $\rightarrow$  Nonlinear operator eq,'s, Greens functions, occupation space...
- Mean field methods.

# Facts from many-body calculations

One of the largest triumphs of the 20th century

We check **homogeneous** 3D electron system (3DEG) with density  $n_0$   
and constant background charge density  $en_b$

small parameter for perturbation calc.  $r_s(n_0)$ :

$$n_0 = \frac{3}{4\pi r_0^3} = \frac{3}{4\pi r_s^3 a_B^3}$$
$$r_s = \frac{r_0}{a_B}, \quad a_B = \frac{\hbar^2}{me^2} = 0.529 \text{ \AA}$$



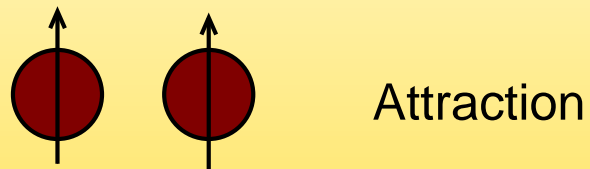
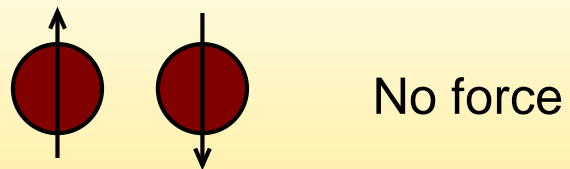
# Electron interaction

Electrons are indistinguishable!

Direct Coulomb (classic)



Exchange force (quantum phen.)



Mean total energy per electron (in  $E_{Ryd} = me^4/(2\hbar^2) = 13.6$  eV ):

$$E = \underbrace{\frac{2.21}{r_s^2}}_{\text{kinetic}} - \underbrace{\frac{0.916}{r_s}}_{\text{exchange}} - \overbrace{0.094 + 0.0622 \ln(r_s) + O(r_s)}^{\text{correlation}}$$

Direct Coulomb-energy = 0, due to background

$E_{kin} \gg E_{int}$  when  $r_s \rightarrow 0$ , high density

Metals:  $1.8 < r_s < 5$

Interaction is important for low density

$n_0$  is variable in 2DEG

# Inhomogeneous - finite system

The Direct Coulomb term is not canceled

## Numerically exact methods

Many-electron Hamilton operator

$$H = \sum_{i=1}^N \left\{ \frac{1}{2m^*} (\mathbf{p}_i + \mathbf{A}(\mathbf{r}_i))^2 + V_{conf}(\mathbf{r}_i) \right\} + \frac{1}{2} \sum_{i \neq j}^N \frac{e^2}{\kappa |\mathbf{r}_i - \mathbf{r}_j|}$$

is diagonalized in a truncated many-electron state space

Works for 2-12 electrons

## Mean field methods

$$\underbrace{\text{Many-electron operator } H}_{\text{linear equation of motion}} \rightarrow \underbrace{\text{one-electron in } V_{eff}}_{\text{nonlin. eq. of motion}}$$

For example, Hartree or Hartree-Fock approximation constructed as:

- An infinite perturbation series, Feynman diagrams, many-body theory. (A. Fetter and J.D. Walecka, Quantum Theory of Many-Particle Systems, McGraw-Hill, (1971).
- Variational approach to  $H$  with the condition that the wave function is a single Slater determinant. E.K.U. Gross, E. Runge and O. Heinonen, Many-particle Theory, Adam Hilger (1991).

## HF-equations of motion

$$\{H_0 + V_{\text{ext}}(\mathbf{r}) + V_H(\mathbf{r})\}\psi_\alpha(\mathbf{r}) - \int d\mathbf{r}' \Delta(\mathbf{r}, \mathbf{r}')\psi_\alpha(\mathbf{r}') = \epsilon_\alpha \psi_\alpha(\mathbf{r})$$

$$V_H(\mathbf{r}) = \frac{e^2}{\kappa} \int d\mathbf{r}' \frac{n(\mathbf{r}') - n_b(\mathbf{r}')}{|\mathbf{r} - \mathbf{r}'|}$$

$$\Delta(\mathbf{r}, \mathbf{r}') = \frac{e^2}{\kappa} \sum_{\beta} f(\epsilon_{\beta} - \mu) \frac{\psi_{\beta}^*(\mathbf{r}')\psi_{\beta}(\mathbf{r})}{|\mathbf{r} - \mathbf{r}'|}$$

$$n(\mathbf{r}) = \sum_{\alpha} |\psi_{\alpha}(\mathbf{r})|^2 f(\epsilon_{\alpha} - \mu)$$

$$\int d\mathbf{r}' n(\mathbf{r}', \mu) = N, \text{ number of electrons}$$

- Exchange force  $\rightarrow$  nonlocal, not a functional of  $n$
- Nonlinear equations, solved in one-electron state space with iterations, we will go through this later.
- The exchange can be expensive if the density  $n$  is a primary variable in a program. To be discussed later.
- Exact solutions to the nonlinear equations...
- Lack of correlations...
- Higher order approximations...